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## Photochromism of Hydrogen Bonded Compounds

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The effect of hydrogen bonding on the photochemical cis-trans isomerization is discussed on the basis of the results of absorption and fluorescence spectra as well as of the determination for the efficiencies of photochemical and photophysical processes.

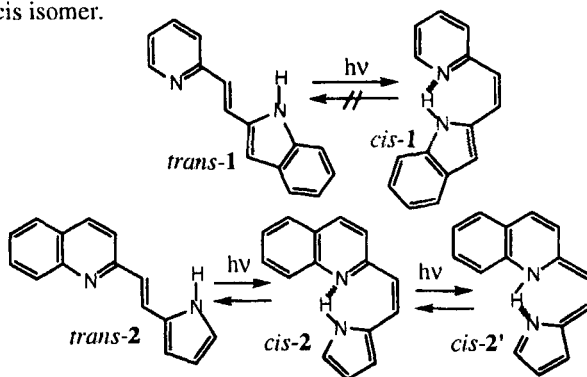
**Keywords:** Photoisomerization; hydrogen bonding; hydrogen atom transfer

### INTRODUCTION

Photochemical cis-trans isomerization has extensively been studied and the relation between the isomerization mechanism and the molecular structure has been investigated [1]. In briefly, stilbene undergoes cis-trans isomerization mutually (two-way isomerization), while styrylanthracene undergoes only cis-to-trans isomerization (cis→trans

one-way isomerization).

We have reported another type of one-way isomerization taking place only from *trans*-to-*cis* direction in an olefin with an indole ring and a pyridine ring (**1**): **1** exhibited one-way *trans*→*cis* isomerization due to the presence of an intramolecular hydrogen bonding [2-4]. The *cis* isomer did not undergo isomerization to the *trans* isomer on direct irradiation. Therefore, after prolonged irradiation the solution contained only *cis* isomer.



A compound with a quinoline ring and a pyrrole ring **2** underwent two-way isomerization giving a photostationary mixture of  $[c]/[t]=93.4:6.6$  on irradiation at 399 nm [5]. The low composition of the *trans*-isomer at the photostationary state indicates that the deactivation through the hydrogen bonding is predominant also in compound **2**. In addition, *cis*-**2** gave a fluorescence spectrum at considerably longer wavelength region compared to the absorption spectrum. This spectrum is assigned to the tautomer (*cis*-**2'**) fluorescence produced by intramolecular hydrogen atom transfer in the excited singlet state.

The *cis* isomer of an olefin with a pyrrole ring and a pyridine ring also underwent hydrogen atom transfer in the excited singlet state [6,7].

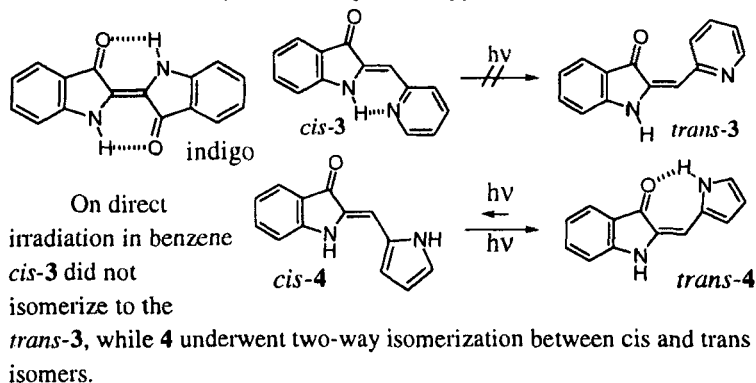
The photochromic properties of *N*-(2-hydroxybenzylidene)aniline and its derivatives have extensively been studied [8,9].

Indigo has a absorption spectrum at visible region and is a photochemically stable compound due to the intramolecular hydrogen

bonding. In order to explore the photochromic dye [8,9] having absorption maximum at visible region, we prepared and studied indoline derivatives **3** and **4** which have similar structure to indigo [10,11].

## RESULTS AND DISCUSSION

The intramolecular hydrogen bonding is present in the *cis*-**3** and *trans*-**4** as revealed by  $^1\text{H}$  NMR spectroscopy.



The *cis* isomer of **3** did not undergo isomerization to give the *trans* isomer in methanol as well as in benzene on irradiation at 366 nm. In addition, *cis*-**3** was stable on irradiation at the edge of the absorption spectrum ( $\lambda=525$  and 535 nm in benzene and in methanol, respectively). Therefore, we could not observe an absorption spectrum of *trans*-**3**. The absorption spectrum of the *cis* isomer is shown in Figure 1a. No fluorescence spectrum was detected at room temperature. Thus, *cis*-**4** undergoes ultra fast deactivation from the excited singlet state through the intramolecular hydrogen bonding. However, at 77 K in ethanol glass the fluorescence spectrum with the fluorescence maximum at  $\lambda_{\text{max}}=520$  nm and the lifetime of ca. 8 ns was observed. This result indicates that the deactivation through the intramolecular hydrogen bonding is suppressed with lowering of the temperature.

The absorption maximum of *trans*-**4** appeared at longer

wavelength ( $\lambda_{\max} = 530$  nm) than that of *cis*-4 ( $\lambda_{\max} = 480$  nm) in benzene due to the presence of intramolecular hydrogen bonding in *trans*-4 (Figure 1b). On irradiation with 366 nm light, 4 gave photostationary isomer mixture with a very high ratio of *trans* isomer ( $([trans]/[cis])_{pss} = 99/1$ ) in benzene. Although the  $([trans]/[cis])_{pss}$  value decreased in polar and protic solvent and is 95/5 and 90/10 in acetonitrile and

methanol, respectively, the efficiency for *trans*-to-*cis* isomerization is still smaller than that for *cis*-to-*trans* isomerization. These results indicate that an intramolecular hydrogen bonding in *trans*-4 still plays an important role in the deactivation from the excited singlet state even in polar protic solvent.

The fluorescence spectrum of *trans*-4 was observed in various solvents: the fluorescence maximum appeared at 554, 560, 574 nm, in benzene, methanol, and acetonitrile, respectively. The Stokes shift is only 1000-1500  $\text{cm}^{-1}$  in these solvents which is similar to that of *cis*-4. Typical examples of the fluorescence spectra of *trans*- and *cis*-4 in benzene are shown in Figure 2. The quantum yield of fluorescence emission of *trans*-4 is less than 0.01 in all the solvent examined and is

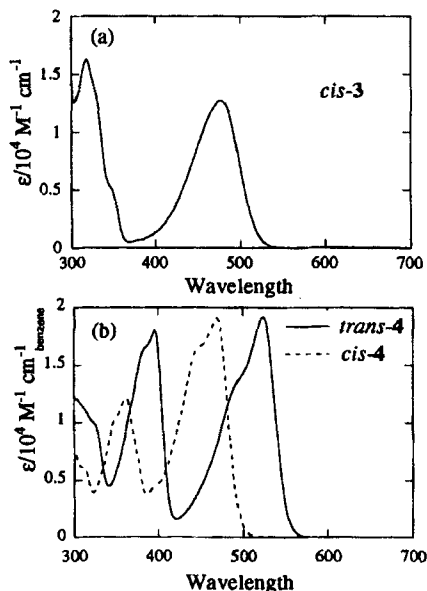


FIGURE 1 Absorption spectra of *cis*-3 (a) and *cis*- and *trans*-4 (b) in benzene.

slightly lower than that of *cis*-4 in non-polar solvent, but is comparable in polar solvent. Since the fluorescence spectrum was observed only at the normal region, the excited state intramolecular hydrogen atom transfer is not efficient in *trans*-4. Otherwise, if the hydrogen atom transfer takes place in the excited singlet state, the produced tautomer form scarcely gives fluorescence emission but undergoes radiationless deactivation to the ground state.

Similar to the temperature effect on the fluorescence emission in 3, temperature affected the fluorescence intensity and the lifetime in 4. The fluorescence lifetime of *trans*-4 increased with decreasing of temperature

from 0.21 ns (at 295K) to 2.86 ns (at 185 K) in

toluene. One can propose that the deactivation from the excited singlet state through intramolecular hydrogen bonding should overcome some activation barrier.

On 308 nm laser irradiation, no transient spectrum was observed for 3 and 4, except the permanent change of the absorption spectrum due to the *cis*→*trans*

isomerization in *cis*-4.

These results exclude the intervention of the triplet state in the photochemical reactions on direct irradiation of 3 and 4.

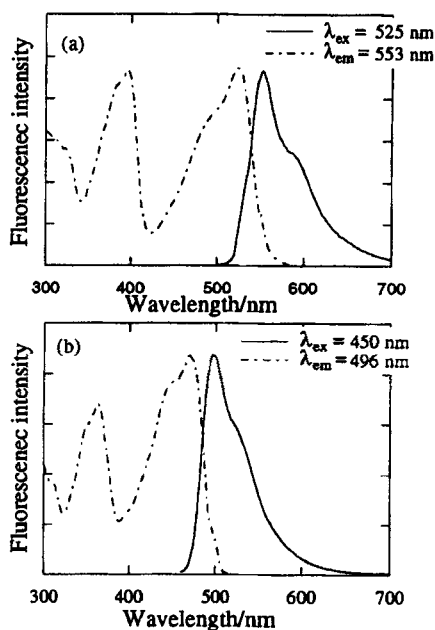


FIGURE 2 Fluorescence and fluorescence excitation spectra of *trans*- (a) and *cis*-4 (b) in benzene.

## CONCLUSION

The hydrogen bonding affected the behavior of excited singlet state in **3** to result in the ultra fast deactivation from the excited state without giving any fluorescence as well as isomerization around the double bond. However, **4** underwent photochromic behavior giving the isomer mixture at the photostationary state depending on the solvent properties.

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